

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
REQUEST FOR FILING NATIONAL PATENT APPLICATION**

**Under 35 USC 111(a) and Rule 53(b)**

PATENT APPLICATION

**Mail Stop Patent Application  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450**

**WITH SIGNED DECLARATION**

NONPROVISIONAL  
NON REISSUE  
NON PCT NAT PHASE

Sir:

Herewith is the PATENT APPLICATION of  
Inventor(s): SWEE CHEAU CHONG and YASUSHI

**Title** SAFETY ARTERIOVENOUS FISTULA NEEDLE

Atty. Dkt.:	46623	0300442
	C-M	Client Ref

**including:**

Date: April 15, 2004

1. Specification: 19 pages (only spec. and claims) 2. ☐ Specification in non-English language  
3. Declaration ☒ Original ☐ Facsimile/Copy ☐ Abstract 1 page(s); 35 numbered claims  
4. ☒ Drawings: 8 sheet(s) ☐ informal; ☒ formal of size: ☐ A4 ☒ 11"  
5. ☐ See top first page re prior Provisional, National or International application(s). ("X" box only if info is there and do not complete corresponding item 6 or 7). (Prior M# \_\_\_\_\_ SN \_\_\_\_\_ )  
6. This application claims benefit of the following prior US application(s), the contents of which are incorporated into this application by this reference:

No.	/	filed	_____
No.	/	filed	_____
No.	/	filed	_____
No. PCT	/	filed	_____, which

designated the US.

7. ☐ See the attached Preliminary Amendment, which amends the specification to claim benefit of the above listed US application(s)

8. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.

9. ☒ Assignee **JMS NORTH AMERICA CORP.**

**Address** 22320 Foothill Boulevard, Suite 350, Hayward, CA 94541

10. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in

11. \_\_\_\_\_ (country)

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	
(7)		(8)	
(9)		<input type="checkbox"/> See 3 <sup>rd</sup> page for additional priorities	

12. \_\_\_\_\_ (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) \_\_\_\_\_  
in U.S. Application No. \_\_\_\_\_ / \_\_\_\_\_ filed on \_\_\_\_\_
13. Small entity status → ☒ is **not** claimed; ☐ is claimed (**Pre-filing confirmation required**)
- 13(a). ☐ Attached: \_\_\_\_\_ (No.) Small Entity Statement(s) (since 9/8/00 small entity statement(s) **not essential** to make claim)
- 13(b). ☐ See **NONPUBLICATION REQUEST** under Rule 213(a) attached (PAT-258)

14. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(4)	
(2)		(5)	
(3)		(6)	

15. ☐ This application is being filed under Rule 53(b)(2) since an inventor is named in the enclosed Declaration who was not named in the prior application.

16. ☐ Attached:

17. ☐ Preliminary Amendment:

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

				Large/Small Entity		Fee Code
18. Basic Filing Fee				\$770/\$385	\$770	1001/2001
19. Total Effective Claims	35	minus 20 =	*15	x \$18/\$9 =	+ 270	1202/2202
20. Independent Claims	3	minus 3 =	*0	x \$86/\$43 =	+ 0	1201/2201
*If answer is zero or less, enter "0"						
21. If any proper multiple dependent claim (ignore improper) is present , add (Leave this line blank if this is a reissue application)				+ \$290/\$145	+ 0	1203/2203
22.				TOTAL FILING FEE =	\$1040	
23. If "non-English" box 2 is X'd, add Rule 17(k) processing fee				+ \$130	+ 0	1053
24. If "assignment" box 8 is X'd, add recording fee				+ \$40	+ 40	8021
25. <input type="checkbox"/> Attached is a Petition/Fee under Rule No.				+ \$130	+ 0	1808
26.				TOTAL FEE =	\$1080	
Our Deposit Account No. 03-3975				PLEASE CHARGE OUR DEP. ACCT.		
Our Order No. 46623 300442						

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This **CHARGE STATEMENT** does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Winthrop LLP  
Intellectual Property Group**

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RHZ/ab

NOTE: File in duplicate with 2 post card receipts (FAT-103) & attachments

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: )  
SWEE CHEAU CHONG, et al. ) Art Unit:  
Serial No: ) Examiner:  
Filed: April 15, 2004 )  
For: SAFETY ARTERIOVENOUS FISTULA )  
NEEDLE )

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL

"Express Mail" Mailing Label No. EL 860 934 537 US

Date of Deposit: April 15, 2004

Mail Stop Patent Application  
Honorable Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

I hereby certify that

- X Letter of transmittal  
X check in the amount of \$1,040.00 as filing fee  
X patent application (19 pages of specification; 35 claims; 1 pages of abstract  
X 8 sheet(s) of formal drawings  
X Declaration (signed X unsigned\_\_\_\_)  
X executed Assignment, with Recordation Cover Letter and check in amount of \$40  
X return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 CFR 1.10 on the date indicated above and are addressed to:

Mail Stop Patent Application  
Honorable Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

April 15, 2004

Date of Deposit

Alisa Brewer

Name of person mailing papers

Alisa Brewer

Signature